National Market System Plans

The Securities and Exchange Commission (the “Commission” or “SEC”), at 17 C.F.R. 608(a)(8), requires TXSE and other self-regulatory organizations (“SRO”s) to publish, on its website, the National Market System (“NMS”) Plans in which each participates. Below are links to the websites that include copies of each of the applicable NMS Plans of which TXSE is a member, along with related information.

Participants or sponsors of an NMS Plan must ensure that any proposed amendments are posted to a designated website after filing the amendments with the Commission, and that those websites are updated to reflect the current status of the amendment and the NMS Plan. Each plan participant or sponsor must also provide a link on its own website to the current version of its NMS Plan.

Consolidated Audit Trail (“CAT”) NMS Plan

The CAT NMS Plan governs the development, implementation and maintenance of the Consolidated Audit Trail. The CAT tracks orders throughout the order lifecycle (including modification, cancellation and execution) and identifies the broker-dealers handling them for all eligible securities on the U.S. markets.

Agreement of Consolidated Audit Trail as of 5.11.26 Reflecting Texas Stock Exchange LLC as Member of Plan

https://catnmsplan.com/

Field Code Changed

Consolidated Tape Association Plan/Consolidated Quotation Plans (“CTA/CQ” Plans)

TXSE is a party to the CTA/CQ Plans, which govern the collection, processing, and dissemination of quotation and transaction information for exchange-listed securities (excluding those securities listed on the Nasdaq Stock Exchange). The data reflected on the consolidated tape (Networks A – for New York Stock Exchange LLC, and B – for NYSE American LLC, NYSE Arca, Inc., Cboe BZX Exchange, Inc., and other regional exchanges) are sourced from various market centers, including securities exchanges, FINRA, electronic communications networks (ECNs), and other broker-dealers. Under the CTA/CQ Plans, all U.S. exchanges, associations, and market centers that quote and trade exchange-listed securities must provide their data to a centralized securities information processor (SIP) for data consolidation and dissemination.

https://thectplanllc.com/

7.6.26 Fourth Amendment to the CT Plan Admitting Texas Stock Exchange LLC (“Texas Stock Exchange”) as a new Member

https://www.ctaplan.com/index

4.21.26 Forty-First Substantive Amendment to the Second Restatement of the CTA Plan and Thirty-Second Amendment to the Restated CQ Plan Admitting Texas Stock Exchange LLC as a new Participant

Extraordinary Market Volatility NMS Plan (Limit Up-Limit Down or “LULD” Plan)

This plan governs the establishment, operation and administration of a market-wide LULD mechanism that is intended to address extraordinary market volatility in NMS stocks, as defined in Rule 600(b)(47) of Regulation NMS under the Exchange Act. The Plan sets forth market-wide Limit up-Limit down requirements designed to prevent trades in individual NMS Stocks from occurring outside of the specified price bands. These LULD requirements would be coupled with Trading Pauses, as defined in Section I(X) of the Plan, to accommodate more fundamental price moves.

Tier 1 LULD List of ETPs

https://www.luldplan.com/

June 24, 2026 Notice of Filing to Add Texas Stock Exchange LLC as Participant to the Plan to Address Extraordinary Market Volatility

Intermarket Symbol Reservation Authority (“ISRA”) NMS Plan

The ISRA NMS Plan establishes a uniform system for the selection and reservation of securities symbols under the Exchange Act. This plan allocates one to five character root symbols used to identify common stocks and other securities, including options, to self-regulatory organizations (SROs) that have joined the plan A web-based tool (the “Symbol Reservation System”) was developed for such purpose and is maintained by the Options Clearing Corporation at https://www.theocc.com/Clearance-and-Settlement/Industry-Services.

OTC Unlisted Trading Privilege (“OTC UTP”) Plan

TXSE participates in the OTC UTP Plan, which is a joint SRO plan governing the collection, consolidation, and dissemination of quotation and transaction information for NASDAQ-listed securities traded on exchanges on an Unlisted Trading Privilege basis. Under the OTC UTP Plan, all participants (including registered U.S. securities exchanges, FINRA, ECNs, and other broker-dealers), which also administer the Plan, that quote and trade NASDAQ-listed securities must provide their data to a centralized Securities Information Processor (SIP) for data consolidation and dissemination.

https://www.utpplan.com/utp_plan

3.9.26 Fifty-Sixth Amendment to the UTP Plan to Admit Texas Stock Exchange LLC as a new Participant

Rule 605 NMS Plan

On April 12, 2001, the Commission approved a national market system plan for the purpose of establishing procedures for market centers to follow in making their monthly reports available to the public under Rule 11Ac1-5 under the Act (n/k/a Rule 605 of Regulation NMS). See Securities Exchange Act Release No. 44177 (April 12, 2001), 66 FR 19814 (April 17, 2001). Rule 605 of Reg NMS generally requires a market center that trades NMS stocks to make electronic execution reports publicly available on a monthly basis, including uniform statistical measures of execution quality.

June 11, 2026 Notice of Filing to Add Texas Stock Exchange LLC as a Participant to the Plan Establishing Procedures Under Rule 605 of Regulation NMS